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Substitute for form 1449/PTO	Complete if Known		
Substitute for form 14437-10	Application Number		
INCODMATION DICCLOCUDE	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	Thomas W. Oakes	
STATEMENT BY APPLICANT	Art Unit		
(Use as many sheets as necessary)	Examiner Name		
heet 1 of 2	Attorney Docket Number	OAK-01	

		•	U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> (f known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
HW	1	US- 6,471,834	10-29-2002	Roe et al	
<u> </u>	2	<sup>US-</sup> 6,409,893	06-25-2002	Holzbock	
1	3	US- 4,011,149	03-08-1977	Nozik	
1	4	<sup>US-</sup> 6,395,252	05-28-2002	Getty	
T	5	<sup>US-</sup> 6,544,400	04-08-2003	Hockaday	
1	6	<sup>US-</sup> 6,414,237	07-02-2002	Boer	
1	7	<sup>US-</sup> 6,211,643	04-03-2001	Kagatani	
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lack M	10	<sup>US-</sup> 2002/0022162	02-21-2002	Kagatani	
HW	11	<sup>US-</sup> 6,279,321	08-28-2001	Forney	
		US-			
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		US-			
		US-			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°		
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Examiner Signature	/Harry Wilkins/	Date Considered	02/13/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language

Translation is attached.
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STA	STATEMENT BY APPLICANT			First Named Inventor	Thomas W. Oakes	
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Sheet	2	of	2	Attorney Docket Number	OAK-01	

	0:4-	NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
HW	12	PAUL ERICKSON, "Hydrogen From Solar Energy, an Overivew of Theory and Tech. Proceedings"; 36th Intersociety Energy Conversion Engineering.Conf. July 29- Aug 2, Savannah, GA		
HW	13	JOHN TURNER, "Realizable Renewable Energy Future", Science 285:687, (1999)		
HW	14	NREL, "Realizing a Hydrogen Future, Hydrogen Tech Advisory Panel Recommendations", Nat. Renewable Energy Lab, a DOE Lab (May 1999)		
HW	15	O. KHASLVE & J. TURNER, "A Monolithic Photoelectrochemical Device for Hydrogen Production by Water Splitting"; Science 280 (5362):425 (1998)		
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Examiner	/Harry Wilkins/	Date	02/13/2007
Signature	/ nally wilkins/	Considered	

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